

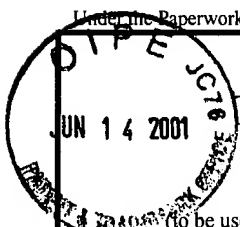
Please type a plus sign (+) inside this box → ☐

TECH CENTER 6600/2800

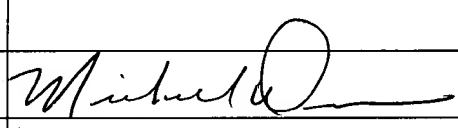
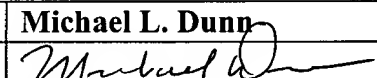
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--|-----------------------------|-------------------------------|--------------------|
|  TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/420,695 | |
| | Filing Date | October 19, 1999 | |
| | First Named Inventor | Yasmin Thanavala | |
| | Group Art Unit | 1651 | |
| | Examiner Name | M. Flood | |
| Total Number of Pages in This Submission | 11 | Attorney Docket Number | RPP:156A US |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ** <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks **SUBSTITUTE Appeal Brief | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|---|---|-------------|--------------------|
| Firm or Individual name | Michael L. Dunn | | |
| Signature |  | | |
| Date | June 12, 01 | | |
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 12, 01 | | | |
| Typed or printed name | Michael L. Dunn | | |
| Signature |  | Date | June 12, 01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FREE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number **09/420,695** JUN 15 2001
 Filing Date **October 19, 1999**
 First Named Inventor **Yasmin Thanavala**
 Examiner Name **M. Flood** TECH CENTER 1600/2960
 Group Art Unit **1651**
 Attorney Docket No. **RPP:156A US**

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit
Account
Number**04-1790**Deposit
Account
NameCharge Any Additional Fee Required
Under 37 CFR 1.16, 1.17, 1.18 and 1.20Applicant claims small entity status.
See 37 CFR 1.27

Payment Previously mailed April 2, 2001:



Check



Credit Card



Money Order



Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|--------------------------|--------------------------|----------|----------|------------------------|
| 101 | 201 | 710 | 355 | Utility filing fee |
| 106 | 206 | 320 | 160 | Design filing fee |
| 107 | 207 | 490 | 245 | Plant filing fee |
| 108 | 208 | 710 | 355 | Reissue filing fee |
| 114 | 214 | 150 | 75 | Provisional filing fee |

Fee Paid

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------|------------------------------|------------------------|------------------------|
| <input type="text"/> | -20** = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| Independent Claims | -3** = <input type="text"/> | X <input type="text"/> | = <input type="text"/> |
| Multiple Dependent | <input type="text"/> | = <input type="text"/> | = <input type="text"/> |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|--------------------------|--------------------------|----------|----------|---|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 80 | 40 | Independent claims in excess of 3 |
| 104 | 204 | 270 | 135 | Multiple dependent claim, if not paid |
| 109 | 209 | 80 | 40 | **Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|--------------------------|--------------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 239 | 130 | 130 | Non-English specification | |
| 147 | 247 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 212 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 213 | 1,840* | 1,840* | Requesting publication of SIR after Examination action | |
| 115 | 215 | 110 | 55 | Extension for reply within first month | |
| 116 | 216 | 390 | 195 | Extension for reply within second month | |
| 117 | 217 | 890 | 445 | Extension for reply within third month | |
| 118 | 218 | 1,390 | 695 | Extension for reply within fourth month | |
| 128 | 228 | 1,890 | 945 | Extension for reply within fifth month | |
| 119 | 219 | 310 | 155 | Notice of Appeal | |
| 120 | 220 | 310 | 155 | Filing a brief in support of an appeal | |
| 121 | 221 | 270 | 135 | Request for oral hearing | |
| 138 | 238 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,240 | 620 | Petition to revive - unintentional | |
| 142 | 242 | 1,240 | 620 | Utility issue fee (or reissue) | |
| 143 | 243 | 440 | 220 | Design issue fee | |
| 144 | 244 | 600 | 300 | Plant issue fee | |
| 122 | 222 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 223 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 226 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 710 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 249 | 710 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 279 | 710 | 355 | Request for Continued Examination (RCE) | |
| 169 | 269 | 900 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|------------------------|-----------------------------------|----------------------|-----------|---------------------|
| Name (Print/Type) | Michael L. Dunn | Registration No. (Attorney/Agent) | 25,330 | Telephone | 716-433-1661 |
| Signature | <i>Michael L. Dunn</i> | Date | June 12, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.